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Docket No.: HO-P02228US1 (PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

David L. Evans et al.

Application No.: 10/666,477

Filed: September 18, 2003

For: PROSTHETIC DEVICES EMPLOYING

OXIDIZED ZIRCONIUM AND OTHER ABRASION RESISTANTSURFACES CONTAINING SURFACES OF CROSS-

LINKED POLYETHYLENE

Confirmation No.:

Art Unit: 3731

Examiner: R. A. Lewis

INFORMATION DISCLOSURE STATEMENT (IDS)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed before the mailing date of a first Office Action on the merits as far as is known to the undersigned (37 CFR 1.97(b)(3)).

A copy of each reference on the PTO/SB/08 is attached.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure statement shall not be construed to be an

Application No.: 10/666,477 Docket No.: HO-P02228US1

admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 06-2375, under Order No. HO-P02228US1. A duplicate copy of this paper is enclosed.

Dated: February 4, 2004

Respectfully submitted,

Gino Catena

Registration No.: 45,546

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PTO/SB/21 (08-03)

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Order the Faperwork Neddolon Act of 1990, no pers	sons are required to n	Application Number	10/666,477
TRANSMITT	AL	Filing Date	September 18, 2003
FORM		First Named Inventor	David L. Evans
(to be used for all correspondence after	· initial filing)	Art Unit	3731
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EN	CLOSURES	(Check all that app	oly)
Fee Transmittal Form	Drawing(s)	*	After Allowance Communication to Group
Fee Attached	Licensing-re	elated Papers	Appeal Communication to Board of Appeals and Interferences
Amendment/Reply	Petition		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
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Affidavits/declaration(s)	Power of Att	corney, Revocation Correspondence Address	Status Letter
Extension of Time Request	Terminal Di	sclaimer	Other Enclosure(s) (please identify below):
Express Abandonment Request	Request fo	r Refund	26 References
X Information Disclosure Statement	CD, Numbe	er of CD(s)	
Certified Copy of Priority Document(s)			
Response to Missing Parts/ Incomplete Application	Remarks		
Response to Missing Parts under 37 CFR 1.52 or 1.53			
under 57 STR 1.32 01 1.33			
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Transmittal

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(Annette Devereux)

Application No. (if known): 10/666,477

Attorney Docket No.: HO-P02228US1

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Complete if Known Substitute for form 1449A/PTO **Application Number** 10/666,477 INFORMATION DISCLOSURE Filing Date September 18, 2003 STATEMENT BY APPLICANT First Named Inventor David L. Evans 3731 (use as many sheets as necessary) Examiner Name R. A. Lewis Sheet 1 of 2 Attorney Docket Number HO-P02228US1

	U.S. PATENT DOCUMENTS					
Examiner	Cite	Document Number	Publication Date	Name of Patentee or Applicant	Pages, Columns, Lines, Where Relevant	
Initials*	No.1	Number-Kind Code ² (if known)	MM-DD-YYYY	of Cited Document	Passages or Relevant Figures Appear	
	AA	US-4,932,969	06-12-1990	Frey et al.		
	AB	US-5,037,438	08-06-1991	Davidson		
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	FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶			
	ВА	WO-98/01085	01-15-1998	The Orthopaedic Hospital et al.		П			
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	ВС	EP-0722973	12-17-2003	The University of Southern California	•				
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	BF	WO-9816258	04-23-1998	The Orthopaedic Hospital					
	BG	EP-0727981	05-06-1999	The Gillette Company					

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant

¹ Applicant's unique citation designation number (optional). ² See attached Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark-here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²	
	CA	LEWIS, GLADIUS, "Properties of Crosslinked Ultra-High Molecular Weight Polyethylene," Biomaterials, Elsevier Science Publishers BV., Barking, GB, Vol. 22, no. 4, February 15, 2001,		

Examiner	Date	
Signature	Considered	

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				Application Number	10/666,477	
11	VFORMATION	ON DIS	CLOSURE	Filing Date	September 18, 2003	
STATEMENT BY APPLICANT		First Named Inventor	David L. Evans			
		Art Unit	3731			
	(use as many	/ sheets as ne	ecessary)	Examiner Name	R. A. Lewis	
Sheet	2	of	2	Attorney Docket Number	HO-P02228US1	

	pp. 371-401.	
СВ	Swarm Orthopedic, Patient/Clinician Information: SB Charite Intervertebral Dynamic Disc	
	Spacer, www.linkspinegroup.com/us/disctext.htm	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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